

A

12-05-01

10/30/01

U.S. PTO  
10/002703  
10/30/01

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Packet No. : 47475/DMC/S965  
Inventor(s) : Bardia PEZESHKI; Jay KUBICKY  
Title : LASER AND FIBER COUPLING CONTROL  
Express Mail Label No. : EL 818364816 US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Date: October 30, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

\_\_\_\_\_ This application is a \_\_\_\_\_ of patent application No. \_\_\_\_\_

Prior application information: Examiner ; Group Art Unit:

☒ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application Nos. 60/244,689; 60/244,738; 60/311,621 and 60/311,443 .

3. **APPLICATION COMPRISED OF**

**Specification**

49 Specification, claims and Abstract (total pages)

**Drawings**

15 Sheets of formal drawing(s) (FIGS. 1 to 15 )

**Declaration and Power of Attorney**

\_\_\_\_\_ Newly executed  
☒ Unexecuted declaration  
\_\_\_\_\_ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. \_\_\_\_\_ **Microfiche Computer Program** (Appendix)

5. \_\_\_\_\_ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

\_\_\_\_\_ Computer Readable Copy  
\_\_\_\_\_ Paper Copy (identical to computer copy)  
\_\_\_\_\_ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

\_\_\_\_\_ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

---

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

---

Docket No.: 47475/DMC/S965

---

**7. ALSO ENCLOSED ARE**

- ☐ Preliminary Amendment
  - ☐ Includes "Cross-Reference to Related Applications"
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
  - ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

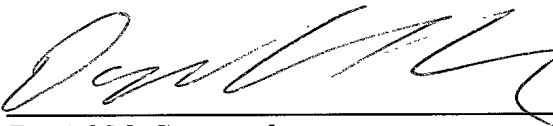
**8. CORRESPONDENCE ADDRESS**

**CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068**  
**Customer Number: 23363**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Daniel M. Cavanagh  
Reg. No. 41,661  
626/795-9900

DMC/rmw

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: October 30, 2001**

Docket No. : 47475/DMC/S965  
Inventor(s) : Bardia PEZESHKI; Jay KUBICKY  
Title : LASER AND FIBER COUPLING CONTROL

Duplicate     

**FEE DETERMINATION**

| CLAIMS AS FILED                                |                 |                 |                      |                      |            |
|--|-----------------|-----------------|----------------------|----------------------|------------|
|  | NUMBER<br>FILED | NUMBER<br>EXTRA | SMALL<br>ENTITY RATE | LARGE<br>ENTITY RATE | FEE        |
| TOTAL CLAIMS                                   | 94 - 20         | 74              | x \$9.00             | 74 x \$18.00         | \$1,332.00 |
| INDEPENDENT CLAIMS                             | 6 - 3           | 3               | x \$42.00            | 3 x \$84.00          | \$252.00   |
| MULTIPLE-DEPENDENT CLAIMS FEE                  |                 |                 | \$140.00             | \$280.00             | -0-        |
| BASIC FEE                                      |                 |                 | \$370.00             | \$740.00             | \$740.00   |
| TOTAL FILING FEE                               |                 |                 |                      |                      | \$2,324.00 |
| List Independent Claims: 1, 33, 63, 71, 80, 94 |                 |                 |                      |                      |            |

**METHOD OF PAYMENT**

- ☒ Payment Enclosed: Check for \$1,832.00
- ☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Daniel M. Cavanagh  
Reg. No. 41,661  
626/795-9900